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Form PTC	449	U.S. DEPART	MENT OF	COMMERCE	Atty Docket	No	Serial No.		
Form PTC	PATENT AND TRADEMARK OFFICE		ARK OFFICE	30894-101 Applicant		10/697,851			
INFORMTION DISCLOSURE			Peteffy et al.						
	STATEMENT BY APPLICANT				Filing Date	-	Group Art Unit		
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EXAMINER INITIAL	DOCU	MENT NUMBER	DATE	NAI	ΛE	CLASS	SUBCLASS	FILING IF APPRO	DATE
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Substitute for form 1449/PTO		Application Number	IN/A			
INFORMATION DISC	OCUDE	Filing Date	29 October 2003			
		First Named Inventor	Thomas Peterffy et a			
STATEMENT BY AP		Art Unit				
(Use as many sheets as nec	essary)	Examiner Name				
Sheet 1 of 1		Attorney Docket Number	30894-101			

Examiner	Cite	Document Number	U. S. PATENT	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	Cite No.1	Number-Kind Code <sup>2 (I know)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
/BKS/		<sup>US-</sup> 6,618,707	09/09/2003	Gary	
/BKS/		US- US 2001/0044767	11/22/2001	Madoff et al.	
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		FORE	IGN PATENT DOCU	MENTS		
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
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